

# Schwab Retirement Technologies® Recordkeeping

Schwab Retirement Technologies® (Schwab RT) Recordkeeping consists of fully integrated business modules for payroll, reporting, compliance, trading and self-directed brokerage accounts. Schwab RT Recordkeeping offers a complete recordkeeping software solution for conversion, set-up, maintenance, automated processing, compliance, reporting and customization, everything a plan administrator needs for day-to-day plan administration.

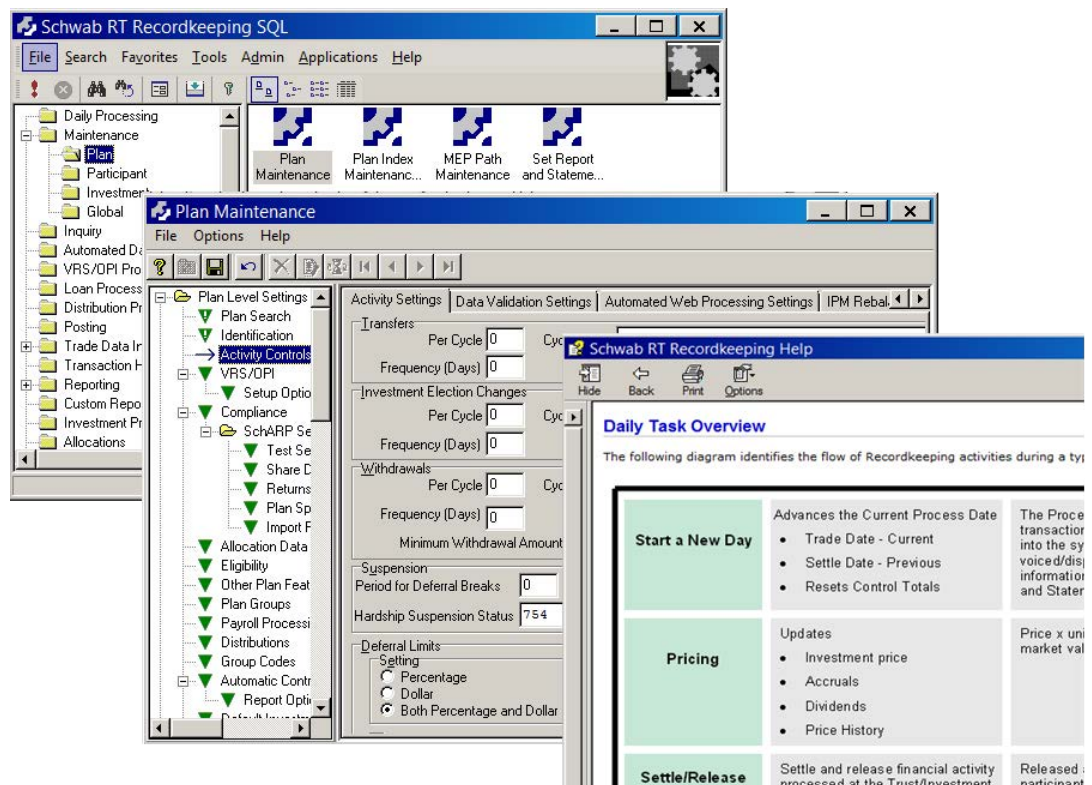
## Benefits

With Schwab RT Recordkeeping, retirement plan administrators have a solid foundation for recordkeeping services.

Our services and solutions:

- Improve efficiencies of internal administration and processing.
- Provide the flexibility, scalability and performance for a growing business.
- Offer customization options to meet the distinct needs of each user.
- Present robust compliance standards.
- Provide clients with superior service and educational support.

## Features



The screenshot displays the Schwab RT Recordkeeping software interface. The main window is titled "Schwab RT Recordkeeping SQL" and shows a tree view on the left with categories like "Daily Processing", "Plan Maintenance", "Participant", and "Investment". The "Plan Maintenance" window is open, showing various settings for "Activity Settings", "Data Validation Settings", and "Automated Web Processing Settings". A "Schwab RT Recordkeeping Help" window is also open, displaying a "Daily Task Overview" table.

Task	Description	Impact
Start a New Day	Advances the Current Process Date • Trade Date - Current • Settle Date - Previous • Resets Control Totals	The Processor transaction into the system voice/dis information and State
Pricing	Updates • Investment price • Accruals • Dividends • Price History	Price x unit market value
Settle/Release	Settle and release financial activity processed at the Trust/Investment	Released participant

This is a sample screen for Schwab RT Recordkeeping.

- Open architecture and integration with peripheral systems for Web, Call Center and Voice applications.
- Cost-effective concurrent operator licensing with an unlimited number of operators and multiple log-in capabilities.
- Automated “unattended” processing such as calculating contribution refunds and compiling 402(g) limits.
- Extensive security protocols at global and individual levels for operator activity.
- Multi-tasking functionality providing the ability to toggle between applications without impacting work-in-progress.
- Flexible portfolio processing and tracking capabilities.
- Simplified loan administration with complete automation of loan refinancing and re-amortization.
- Easy re-ordering of funds and fund sources.
- Convenient “what if” transaction processing with the ability to execute a trial process without impacting actual records to allow for verification of output in advance.
- Flexible means to provide data on both cash and accrual basis.
- Well-organized presentation of summary data including sorting capabilities for detailed calculations of underlying investments.
- Robust compliance capabilities.

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**Contact Us**

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